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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	99-0017.14
First Inventor	Fred B. SIMPSON
Title	Ornament Base
Express Mail Label No.	EV 342630059 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 16]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Sheets]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OR DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 021491 OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type) Charles R. Ducker, Jr.

Registration No. (Attorney/Agent) 46,542

Signature

Charles R. Ducker Jr.

Date

March 12, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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22387 U.S. PTO
10/799969

031204



22713 U.S. PTO

PTO/SB/17 (10-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004 <i>Effective 01/01/2003. Patent fees are subject to annual revision.</i>		Complete if Known		
		Application Number		
		Filing Date		
		First Named Inventor	Fred B. SIMPSON	
		Examiner Name		
<input checked="" type="checkbox"/> X	Applicant claims small entity status. See 37 CFR 1.27		Art Unit	
TOTAL AMOUNT OF PAYMENT		(\$) 437.00	Attorney Docket No.	99-0017.14

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
<input checked="" type="checkbox"/> X	Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None	3. ADDITIONAL FEES			
<input checked="" type="checkbox"/> X	Deposit Account					Large Entity	Small Entity		
	Deposit Account Number 50-0686					Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
	Deposit Account Name Lanier Ford Shaver & Payne P.C.					1051 130	2051 65	Surcharge - late filing fee or oath	
The Commissioner is authorized to: (check all that apply)						1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
<input type="checkbox"/>	Charge fee(s) indicated below					<input checked="" type="checkbox"/> X	Credit any overpayments		
<input checked="" type="checkbox"/> X	Charge any additional fee(s) during the pendency of this application					1053 130	1053 130	Non-English specification	
<input type="checkbox"/>	Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.					1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
FEE CALCULATION						1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1. BASIC FILING FEE						1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description	Fee Paid	1251 110	2251 55	Extension for reply within first month	
1001 770	2001 385	Utility filing fee		385.00		1252 420	2252 210	Extension for reply within second month	
1002 340	2002 170	Design filing fee				1253 950	2253 475	Extension for reply within third month	
1003 530	2003 265	Plant filing fee				1254 1,480	2254 740	Extension for reply within fourth month	
1004 770	2004 385	Reissue filing fee				1255 2,010	2255 1,005	Extension for reply within fifth month	
1005 160	2005 80	Provisional filing fee				1401 330	2401 165	Notice of Appeal	
SUBTOTAL (1) (\$) 385.00						1402 330	2402 165	Filing a brief in support of an appeal	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE						1403 290	2403 145	Request for oral hearing	
Total Claims	21	-20**=	1	X	9.00	1451 1,510	1451 1,510	Petition to institute a public use proceeding	
Independent Claims	4	-3**=	1	X	43.00	1452 110	2452 55	Petition to revive - unavoidable	
Multiple Dependent						1453 1,330	2453 665	Petition to revive - unintentional	
						1501 1,330	2501 665	Utility issue fee (or reissue)	
						1502 480	2502 240	Design issue fee	
						1503 640	2503 320	Plant issue fee	
						1460 130	1460 130	Petitions to the Commissioner	
						1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
						1806 180	1806 180	Submission of Information Disclosure Stmt	
						8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
						1809 770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))	
						1810 770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))	
						1801 770	2801 385	Request for Continued Examination (RCE)	
						1802 900	1802 900	Request for expedited examination of a design application	
						Other fee (specify) _____			
						SUBTOTAL (3) (\$)			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Charles R. Ducker, Jr.	Registration No. (Attorney/Agent)	46,542
Signature	<i>Charles R. Ducker</i>	Telephone	(256) 535-1100
		Date	March 12, 2004

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